

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this correspondence is being deposited with the US Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail Label No. **EL 070 474 405 US** and addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below:

Date: December 18, 1998

By: Christine C. Gurney  
Christine C. Gurney

PATENT  
Docket No. GC507-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT  
APPLICATION TRANSMITTAL UNDER 37 CFR 1.53

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed for filing is the continuation-in-part utility patent application of  
**Nathaniel T. Becker, Thomas S. Green and Robert I. Christensen, Jr.** for  
**Matrix Granule.**

Also enclosed are:

- ☐ \_\_\_ Sheets of [ ] formal [ ] informal Drawings
- ☒ Declaration and Power of Attorney, unexecuted
- ☐ Assignment
- ☐ Sequence Listing
- ☐ Computer Readable Sequence Listing, Diskette
- ☐ Statement of Sameness
- ☐ Information Disclosure Statement

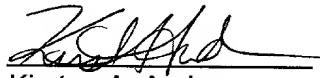
The filing fee has been calculated as shown below:

	No. of Claims	Extra Claims	Rate	Fee
Basic Application Fee				\$790
Total Claims	56	MINUS 20 = 36	X \$ 22	792
Independent Claims	6	MINUS 3 = 3	X \$ 82	246
If Multiple Dependent Claims are presented, add \$270				-0-
Add Recording Fee of \$40.00 if Assignment document enclosed				-0-
<b>Total Application Fee Due</b>				<b>\$1828</b>

- ☒ Please charge \$1828.00 to Deposit Account No. 07-1048 (Docket No. GC507-2). This sheet is submitted in triplicate.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC507-2). This sheet is submitted in triplicate.
- ☒ Any additional fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application, or credit any overpayment, to Deposit Account No. 07-1048 (GC507-2). This sheet is submitted in triplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

  
Kirsten A. Anderson  
Registration No. 38,813

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94034  
Tel: (650) 846-7676  
Fax: (650) 845-6504

Date: December 18, 1998

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this correspondence is being deposited with the US Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail Label No. **EL 070 474 405 US** and addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below:

Date: December 18, 1998

By: Christine C. Gurney

Christine C. Gurney

**PATENT**

**Docket No. GC507-2**

**MATRIX GRANULE**

**Inventors:**

**Nathaniel T. Becker**

**Thomas S. Green**

**Robert I. Christensen, Jr.**

**Related Applications**

This application is a continuation-in-part of U.S. Patent Application No. 08/995,457 filed December 20, 1997 and U.S. Provisional Application No. 60/105,874 filed October 27, 1998, all of which is hereby incorporated herein in its entirety.

**Background of the Invention**

Proteins such as pharmaceutically important proteins like hormones and industrially important proteins like enzymes are becoming more widely used. Enzymes are used in several industries including, for example, the starch industry, the dairy industry, and the detergent industry. It is well known in the detergent industry that the use of enzymes, particularly proteolytic enzymes, has created industrial hygiene concerns for detergent factory workers, particularly due to the health risks associated with dustiness of the available enzymes.

Since the introduction of enzymes into the detergent business, many developments in the granulation and coating of enzymes have been offered by the industry.

U.S. Patent 4,106,991 describes an improved formulation of enzyme granules by including within the composition undergoing granulation, finely divided cellulose fibers in an amount of 2-40% w/w based on the dry weight of the whole composition. In addition, this patent describes that waxy substances can be used to coat the particles of the granulate.

U.S. Patent 4,689,297 describes enzyme containing particles which comprise a particulate, water dispersible core which is 150 - 2,000 microns in its longest dimension, a uniform layer of enzyme around the core particle which amounts to 10%-35% by weight of the weight of the core particle, and a layer of macro-

5 molecular, film-forming, water soluble or dispersible coating agent uniformly surrounding the enzyme layer wherein the combination of enzyme and coating agent is from 25-55% of the weight of the core particle. The core material described in this patent includes clay, a sugar crystal enclosed in layers of corn starch which is coated

10 trisodium citrate, pan crystallized NaCl flakes, bentonite granules or prills, granules containing bentonite, kaolin and diatomaceous earth or sodium citrate crystals. The film forming material may be a fatty acid ester, an alkoxylated alcohol, a polyvinyl alcohol or an ethoxylated alkylphenol.

U.S. Patent 4,740,469 describes an enzyme granular composition consisting

15 essentially of from 1-35% by weight of an enzyme and from 0.5-30% by weight of a synthetic fibrous material having an average length of from 100-500 micron and a fineness in the range of from 0.05-0.7 denier, with the balance being an extender or filler. The granular composition may further comprise a molten waxy material, such as polyethylene glycol, and optionally a colorant such as titanium dioxide.

20 U.S. Patent 5,324,649 describes enzyme-containing granules having a core, an enzyme layer and an outer coating layer. The enzyme layer and, optionally, the core and outer coating layer contain a vinyl polymer.

WO 91/09941 describes an enzyme containing preparation whereby at least 50% of the enzymatic activity is present in the preparation as enzyme crystals. The

25 preparation can be either a slurry or a granulate.

WO 97/12958 discloses a microgranular enzyme composition. The granules are made by fluid-bed agglomeration which results in granules with numerous carrier or seed particles coated with enzyme and bound together by a binder.

Two of the methods known for preparing granulated enzymes in fluid-bed

30 coaters include fluid-bed agglomeration and fluid-bed spray-coating. In fluid-bed agglomeration, one or more enzymes and a binder are sprayed on to fine powdery carrier solids, which are built up in size by agglomerating together carrier particles.

In these agglomerates, the binder and enzyme serve to bridge multiple carrier particles into granules of irregular size and shape. In fluid-bed spray-coating, enzyme can be layered onto uniform core particles together with an optional binder.

It would be desirable to produce enzyme granules with improved stability, particularly in bleach-containing detergents at high humidity and temperature. Current fluid-bed spray-coated enzyme granules contain the enzyme in a relatively thin layer near the surface of the granule. This geometry renders the enzyme more vulnerable to being chipped off of the granule in a concentrated layer during handling and conveying operations, increasing the likelihood and levels of airborne enzyme aerosols in the working environment. This geometry also makes the enzyme more vulnerable to attack by penetrating moisture and inactivating substances.

However, even in light of these developments offered by the industry (as described above) there is a continuing need for low-dust enzyme granules which have additional beneficial characteristics. Additional beneficial characteristics needed in the enzyme granulation industry are low-residue granule formulations (where low residue is defined as a reduced tendency to leave noticeable undissolved residues on clothes or other material), and improved stability during storage in, for example, bleach-containing detergent formulas, for example, those containing peroxygen bleaches such as sodium perborate or sodium percarbonate. Accomplishing all these desired characteristics simultaneously is a particularly challenging task since, for example, many delayed release or low-dust agents such as fibrous cellulose or kaolin leave behind insoluble residues.

As such, there is a need for, for example, a detergent enzyme granule which is simultaneously non-dusting, stable when stored in detergents, and easy to manufacture in a controlled size distribution. Granules of a controlled size distribution are desirable in order to impart good flowability properties for handling and blending into detergents, and to resist segregation and settling once formulated into detergents. A controlled particle size distribution and uniform shape of particles are also important contributors to achieving a low dust granule.

Therefore, it is an object of the present invention to provide low-dust, low residue, highly soluble enzyme granules having increased stability particularly in

bleach-containing detergents. It is another object of the present invention to provide processes which afford the formation of such improved granules.

### **Summary of the Invention**

5           The present invention provides a granule that includes a protein core that includes a protein matrix. The protein matrix includes a protein mixed together with a combination of a sugar or sugar alcohol and a structuring agent. Optionally, a barrier layer can be layered over the protein core or a barrier material can be included in the protein core. Also, optionally, a coating can be applied over the seed  
10   particle, the protein matrix and/or the barrier layer. Preferably, the structuring agent is a polysaccharide or a polypeptide.

          The present invention further provides a granule that includes a protein core that includes a protein matrix layered over a seed particle. The protein matrix includes a protein mixed together with a combination of a sugar or sugar alcohol and  
15   a structuring agent. Optionally, a barrier layer can be layered over the protein core or a barrier material can be included in the protein core. Also, optionally, a coating can be applied over the seed particle, the protein matrix and/or the barrier layer. Preferably, the structuring agent is a polysaccharide or a polypeptide.

          The present invention also provides a granule that includes an enzyme core  
20   that includes an enzyme matrix. The enzyme matrix includes an enzyme mixed together with a combination of a sugar or sugar alcohol and a structuring agent. Optionally, a barrier layer can be layered over the enzyme core or a barrier material can be included in the enzyme core. Also, optionally, a coating can be applied over the seed particle, the enzyme matrix and/or the barrier layer. Preferably, the  
25   structuring agent is a polysaccharide or a polypeptide.

          The present invention additionally provides a granule that includes an enzyme core that includes an enzyme matrix layered over a seed particle. The enzyme matrix includes an enzyme mixed together with a combination of a sugar or sugar alcohol and a structuring agent. Optionally, a barrier layer can be layered over  
30   the enzyme core or a barrier material can be included in the enzyme core. Also, optionally, a coating can be applied over the seed particle, the enzyme matrix and/or

the barrier layer. Preferably, the structuring agent is a polysaccharide or a polypeptide.

Also provided is a method for producing the above granules including providing a seed particle and coating the seed particle with a protein matrix comprising a protein mixed together with a sugar or sugar alcohol and a structuring agent. Optionally, a barrier layer can be layered over the protein core. Also, optionally, a coating can be applied over the seed particle, the protein matrix and/or the barrier layer.

In addition, there is provided a method for producing the above granules including providing a homogenous protein matrix core comprising a protein mixed together with a sugar or sugar alcohol and a structuring agent. Optionally, a barrier layer can be layered over the protein core or a barrier material can be included in the protein core. Also, optionally, a coating can be applied over the seed particle, the protein matrix and/or the barrier layer.

#### **Detailed Description of the Invention**

One embodiment of the invention is a granule that includes a protein core that includes a protein matrix. The protein matrix includes a protein mixed together with a combination of a sugar or sugar alcohol and a structuring agent. Optionally, a barrier layer can be layered over the enzyme core or a barrier material can be included in the enzyme core. Also, optionally, a coating can be applied over the seed particle, the enzyme matrix and/or the barrier layer. Preferably, the structuring agent is a polysaccharide or a polypeptide.

A further embodiment of the invention is a granule that includes a protein core that includes a protein matrix layered over a seed particle. The protein matrix includes a protein mixed together with a combination of a sugar or sugar alcohol and a structuring agent. Optionally, a barrier layer can be layered over the enzyme core or a barrier material can be included in the enzyme core. Also, optionally, a coating can be applied over the seed particle, the enzyme matrix and/or the barrier layer. Preferably, the structuring agent is a polysaccharide or a polypeptide.

Another embodiment of the invention is a granule that includes an enzyme core that includes an enzyme matrix. The enzyme matrix includes an enzyme mixed

together with a combination of a sugar or sugar alcohol and a structuring agent. Optionally, a barrier layer can be layered over the enzyme core or a barrier material can be included in the enzyme core. Also, optionally, a coating can be applied over the seed particle, the enzyme matrix and/or the barrier layer. Preferably, the

5 structuring agent is a polysaccharide or a polypeptide.

A further embodiment of the invention is a granule that includes an enzyme core that includes an enzyme matrix layered over a seed particle. The enzyme matrix includes an enzyme mixed together with a combination of a sugar or sugar alcohol and a structuring agent. Optionally, a barrier layer can be layered over the

10 enzyme core or a barrier material can be included in the enzyme core. Also, optionally, a coating can be applied over the seed particle, the enzyme matrix and/or the barrier layer. Preferably, the structuring agent is a polysaccharide or a polypeptide.

A "protein core", an "enzyme core" or a "core" includes a protein matrix, for

15 example, an enzyme matrix in the case of an enzyme core. The matrix can be homogenous throughout the core or can be layered over a seed particle. There can be one or more layers between the seed particle and the matrix or the matrix and the barrier layer, for example, a coating such as polyvinyl alcohol (PVA).

Seed particles are inert particles upon which the enzyme matrix can be

20 layered can be composed of inorganic salts, sugars, sugar alcohols, small organic molecules such as organic acids or salts, minerals such as clays or silicates or a combination of two or more of these. Suitable soluble ingredients for incorporation into seed particles include: sodium chloride, potassium chloride, ammonium sulfate, sodium sulfate, sodium sesquicarbonate, urea, citric acid, citrate, sorbitol, mannitol,

25 oleate, sucrose, lactose and the like. Soluble ingredients can be combined with dispersible ingredients such as talc, kaolin or bentonite. Seed particles can be fabricated by a variety of granulation techniques including: crystallization, precipitation, pan-coating, fluid-bed coating, fluid-bed agglomeration, rotary atomization, extrusion, prilling, spheronization, drum granulation and high shear

30 agglomeration. In the granules of the present invention, if a seed particle is used then the ratio of seed particles to granules is 1:1.



The "protein matrix", "enzyme matrix" or "matrix" is an admixture of one or more proteins such as an enzyme, a sugar or sugar alcohol and a structuring agent. The protein, sugar or sugar alcohol, and structuring agent can be mixed, for example, in solution or as a slurry. The protein can be applied from a solution or  
5 applied in slurry form as a suspension of crystals or precipitated protein. The matrix of the present invention comprises between about 20-80% of the weight of the granule.

By burying a protein within a matrix, the protein can be better protected from the twin dangers of attrition and activity loss. However it has not been possible  
10 previously to granulate enzymes in sugar or sugar alcohol matrices, since sugars and sugar alcohols exhibit "binder" characteristics, i.e. they are sticky and tend to plaster particles together (as happens intentionally in the case of granulation by agglomeration).

Also, to achieve a low dusting granular protein product, it is necessary to  
15 control the shape and size distribution of the granules. Uniform and reproducible size and shape also contribute to granule stability, since particle breakup and re-agglomeration would bring some protein near the granule surface.

Surprisingly, it has been found that by the addition of a structuring agent to the sugar matrix formula, protein can be applied uniformly to individual seed particles  
20 at rapid rates without agglomeration or attrition. The resulting particle size distribution can be precisely controlled, based on knowledge of the starting seed size distribution and the amount of solids to be added. The resulting particles are approximately spherical in shape, have high cohesive strength, and are resistant to attrition and penetration by moisture and inactivating substances.

25 Suitable sugars include sugars such as sucrose, glucose, fructose, raffinose, trehalose, lactose and maltose. Suitable sugar alcohols include sorbitol, mannitol and inositol. The ratio of sugar or sugar alcohol to structuring agent in the matrix is preferably 0.1-90% by weight of the protein matrix. The sugar or sugar alcohol in the matrix can be sugar or sugar alcohol added to the protein or can be from the  
30 fermentation broth in which the protein is present.

The structuring agent can be a polysaccharide or a polypeptide. These classes of compounds have the simultaneous desirable properties of high molecular

weight and high water solubility. Without wishing to be bound by theory, it is believed that the high molecular weight of the structuring agent contributes two important properties which a sugar or sugar alcohol matrix alone would lack: (1) providing cohesion and strength to the particle, greatly reducing the tendency of the particle to dust; and (2) serving as a diffusion barrier to water and small molecules by virtue of forming a polymer network or "cage" throughout the matrix structure. This greatly improves the stability of the granule.

The particular structuring agents chosen—polysaccharides and polypeptides—also typically have an anti-tack characteristic which is helpful in reducing the binder characteristic of the sugar or sugar alcohol, and allowing matrix layers to be built up—for example in fluid-bed coating—at rapid rates without agglomeration.

Sugars and sugar alcohols and structuring agents also have high water solubility or dispersibility. A matrix formula can be easily prepared which includes sugars or sugar alcohols, structuring agents, and enzymes as a solution or slurry with high total solids concentration. Total solution or slurry solids concentrations of 20-50% w/w or more can be formulated. These concentrated mixtures are highly desirable in that they can be formed into granules with a minimal need for evaporating water, an advantage in any granulation and drying process.

Preferred structuring agents include starch, modified starch, carrageenan, cellulose, modified cellulose, gum arabic, guar gum, acacia gum, xanthan gum, locust bean gum, chitosan, gelatin, collagen, casein, polyaspartic acid and polyglutamic acid. Preferably, the structuring agent has low allergenicity. A combination of two or more structuring agents can be used in the granules of the present invention.

Proteins that are within the scope of the present invention include pharmaceutically important proteins such as hormones or other therapeutic proteins and industrially important proteins such as enzymes.

Any enzyme or combination of enzymes may be used in the present invention. Preferred enzymes include those enzymes capable of hydrolyzing substrates, e.g. stains. These enzymes are known as hydrolases which include, but are not limited to, proteases (bacterial, fungal, acid, neutral or alkaline), amylases

(alpha or beta), lipases, cellulases and mixtures thereof. Particularly preferred enzymes are subtilisins and cellulases. Most preferred are subtilisins such as described in U.S. Patent 4,760,025, EP Patent 130 756 B1 and EP Patent Application WO 91/06637, which are incorporated herein by reference, and  
5 cellulases such as Multifect L250™ and Puradax™, commercially available from Genencor International. Other enzymes that can be used in the present invention include oxidases, transferases, dehydratases, reductases, hemicellulases and isomerases.

The matrix of the granules of the present invention may further comprise one  
10 or more synthetic polymers or other excipients as known to those skilled in the art. Suitable synthetic polymers include polyethylene oxide, polyvinyl alcohol, polyvinyl pyrrolidone, polyethylene glycol and polyethylene oxide/polypropylene oxide.

The matrix may also further comprise plasticizers and anti-agglomeration agents. Suitable plasticizers useful in the present invention include polyols such as  
15 glycerol, propylene glycol, polyethylene glycol (PEG), urea, or other known plasticizers such as triethyl citrate, dibutyl or dimethyl phthalate or water. Suitable anti-agglomeration agents include fine insoluble or sparingly soluble materials such as talc, TiO<sub>2</sub>, clays, amorphous silica, magnesium stearate, stearic acid and calcium carbonate.

The granules of the present invention can further comprise a barrier layer. A  
20 barrier layer is used to slow or prevent the diffusion of substances that can adversely affect the protein or enzyme into the matrix. The barrier layer is made up of a barrier material and can be coated over the protein core or the barrier material can be included in the protein core. Suitable barrier materials include, for example,  
25 inorganic salts or organic acids or salts. The matrix without the protein can also be used as a barrier layer.

The granules of the present invention can also comprise one or more coating layers. For example, such coating layers may be one or more intermediate coating layers or such coating layers may be one or more outside coating layers or a  
30 combination thereof. Coating layers may serve any of a number of functions in a granule composition, depending on the end use of the enzyme granule. For example, coatings may render the enzyme resistant to oxidation by bleach, bring

about the desirable rates of dissolution upon introduction of the granule into an aqueous medium, or provide a barrier against ambient moisture in order to enhance the storage stability of the enzyme and reduce the possibility of microbial growth within the granule.

- 5           Suitable coatings include water soluble or water dispersible film-forming polymers such as polyvinyl alcohol (PVA), polyvinyl pyrrolidone (PVP), cellulose derivatives such as methylcellulose, hydroxypropyl methylcellulose, hydroxycellulose, ethylcellulose, carboxymethyl cellulose, hydroxypropyl cellulose, polyethylene glycol, polyethylene oxide, gum arabic, xanthan, carrageenan, chitosan, 10 latex polymers, and enteric coatings. Furthermore, coating agents may be used in conjunction with other active agents of the same or different categories.

- Suitable PVAs for incorporation in the coating layer(s) of the granule include partially hydrolyzed, fully hydrolyzed and intermediately hydrolyzed PVAs having low to high degrees of viscosity. Preferably, the outer coating layer comprises partially 15 hydrolyzed PVA having low viscosity. Other vinyl polymers which may be useful include polyvinyl acetate and polyvinyl pyrrolidone. Useful copolymers include, for example, PVA-methylmethacrylate copolymer and PVP-PVA copolymer.

- The coating layers of the present invention may further comprise one or more of the following: plasticizers, extenders, lubricants, pigments, and optionally 20 additional enzymes. Suitable plasticizers useful in the coating layers of the present invention are plasticizers including, for example, polyols such as sugars, sugar alcohols, or polyethylene glycols (PEGs), urea, glycol, propylene glycol or other known plasticizers such as triethyl citrate, dibutyl or dimethyl phthalate or water. Suitable pigments useful in the coating layers of the present invention include, but 25 are not limited to, finely divided whiteners such as titanium dioxide or calcium carbonate or colored pigments and dyes or a combination thereof. Preferably such pigments are low residue pigments upon dissolution. Suitable extenders include sugars such as sucrose or starch hydrolysates such as maltodextrin and corn syrup solids, clays such as kaolin and bentonite and talc. Suitable lubricants include 30 nonionic surfactants such as Neodol, tallow alcohols, fatty acids, fatty acid salts such as magnesium stearate and fatty acid esters.

Adjunct ingredients may be added to the enzyme granules of the present invention. Adjunct ingredients may include: metallic salts; solubilizers; activators; antioxidants; dyes; inhibitors; binders; fragrances; enzyme protecting agents/scavengers such as ammonium sulfate, ammonium citrate, urea, guanidine hydrochloride, guanidine carbonate, guanidine sulfamate, thiourea dioxide, monoethanolamine, diethanolamine, triethanolamine, amino acids such as glycine, sodium glutamate and the like, proteins such as bovine serum albumin, casein and the like etc.; surfactants including anionic surfactants, ampholytic surfactants, nonionic surfactants, cationic surfactants and long-chain fatty acid salts; builders; alkalis or inorganic electrolytes; bleaching agents; bluing agents and fluorescent dyes and whiteners; and caking inhibitors.

The granules described herein may be made by methods known to those skilled in the art of enzyme granulation, including pan-coating, fluid-bed coating, prilling, disc granulation, spray drying, extrusion, centrifugal extrusion, spheronization, drum granulation, high shear agglomeration, or combinations of these techniques.

The following examples are representative and not intended to be limiting. One skilled in the art could choose other enzymes, matrices, seed particles, methods and coating agents based on the teachings herein.

20

### Examples

#### Example 1

##### **Laboratory Fluid Bed Spray Coating of Alkaline Protease**

1119 grams non-pareil particles (prepared by spraying a sucrose and corn starch colloidal mixture onto sucrose crystals and followed by spraying a final coating of PVA and corn starch and then sieved to between 20 and 50 mesh) were charged into a Vector FL1 fluid bed coater and fluidized. 159 grams of an aqueous solution containing 15% w/w Elvanol 51-05 (PVA marketed by Dow Chemical) was added to 1128 grams of an aqueous protease solution with 19.7% total dry solids and 8.4% w/w active protease. The protease/PVA solution was sprayed onto the non-pareils under the following conditions:

Fluid feed rate	18 g/min
Atomization pressure	54 psi
Inlet air temperature set point	100°C
Outlet air temperature range	55 to 58°C
Inlet air rate	81 cfm

The coated particles were then coated with an aqueous solution containing 444 grams (40% w/w) of magnesium sulfate heptahydrate. This coating was applied under the following conditions:

5

Fluid feed rate	23 g/min
Atomization pressure	54 psi
Inlet air temperature set point	100°C
Outlet air temperature range	55 to 58 °C
Inlet air rate	80 cfm

The magnesium sulfate coated particles were then cosmetically coated with 2356 grams of an aqueous solution containing 146 grams (6.2% w/w) titanium dioxide, 118 grams (5% w/w) methylcellulose (Methocel A15-LV, Dow Chemical), 24 grams (1% w/w) of Neodol 23/6.5 (Shell Chemical Co.) and 39 grams (1.67% w/w) of polyethylene glycol at a molecular weight (MW) of 600. The cosmetic coating was applied under the following conditions:

10

Fluid feed rate	24 g/min
Atomization pressure	54 psi
Inlet air temperature set point	100°7
Outlet air temperature range	51 to 58°C
Inlet air rate	88 cfm

15

A total of 1912 grams of enzyme granules were harvested as lot A. The overall mass balance for this experiment was 78%.

### **Example 2**

#### **Laboratory Fluid Bed Spray Coating of Alkaline Protease/Sucrose-Starch Matrix**

404 grams of anhydrous sodium sulfate crystals sieved to between 50 and 70  
5 mesh were charged into a Vector FL1 fluid bed coater and fluidized. 781 grams of  
an aqueous protease solution with 19.7% total dry solids and 8.4% w/w active  
protease was added to 1605 grams of an aqueous solution containing 670 grams of  
sucrose, 186 grams of common yellow dent starch and 74 grams of Ethylex 2015 (A.  
E. Staley , Decatur, Illinois) that had been fully hydrated by "cooking out" at 190°F for  
10 15 minutes. The ratio of enzyme solids to other solids in the combined solution was  
kept identical to Example 1, but the amounts were reduced to account for an extra  
step in this example. The combined solution was sprayed onto the sodium sulfate  
under the following conditions:

Fluid feed rate	27 g/min
Atomization pressure	54 psi
Inlet air temperature set point	100°C
Outlet air temperature range	56 to 61°C
Inlet air rate	80 cfm

15 The coated particles were then coated with an aqueous solution containing  
444 grams (40% w/w) of magnesium sulfate heptahydrate. This coating was applied  
under the following conditions:

Fluid feed rate	27 g/min
Atomization pressure	50 psi
Inlet air temperature set point	100°C
Outlet air temperature range	54 to 57°C
Inlet air rate	79 cfm

The magnesium sulfate coated particles were then cosmetically coated with 2356 grams of an aqueous solution containing 146 grams (6.2% w/w) titanium dioxide, 118 grams (5% w/w) methylcellulose, 24 grams (1% w/w) of Neodol 23/6.5 and 39 grams (1.67% w/w) of polyethylene glycol at a MW of 600. The cosmetic

5 coating was applied under the following conditions:

Fluid feed rate	23 g/min
Atomization pressure	56 psi
Inlet air temperature set point	100°C
Outlet air temperature range	53 to 58°C
Inlet air rate	83 cfm

A total of 2050 grams of enzyme granules were harvested as lot B. The overall mass balance for this experiment was 88.6%.

10

### **Example 3**

#### **Analysis of Lots**

The granules of Examples 1 and 2 were analyzed to determine the amount of dust they generated and their stability in a three day stressed stability test. The methods for these procedures are as follows and the results are shown in Table 1.

15

#### **Accelerated Stability Test**

The stability of many enzyme granules formulated into bleach-containing detergents is generally excellent, showing generally no more than about 10 to 20% loss in activity over 6 weeks storage at 30 to 37° C and 70% to 80% R.H. However, to aid in the development and screening of granular formulations, it is desirable to have an accelerated means of determining relative granule stability. The conditions of the accelerated stability test (AST) are far more severe than enzyme granules or detergents would ever encounter in realistic storage or transport. The AST is a “stress test” designed to discriminate differences between formulations which would otherwise not be evident for weeks or months.

20

25



In this test, a test detergent base was made from the following ingredients:

5	72%	WFK-1 detergent base	(WFK, Forschungsinstitut fuer Reinigungstechnologie e.V., Krefeld, Germany)
	25%	sodium perborate monohydrate	(Degussa Corp., Allendale Park, New Jersey.)
10	3%	TAED bleach activator (= tetraacetythylenediamine)	(Warwick International, Mostyn, UK)

For each enzyme sample to be tested, three identical tubes were prepared by adding 1 gram of the test base and 30 mg of enzyme granules to a 15 ml conical tube and mixed by inverting the capped tube 5-8 times by hand. A hole was drilled in the tube cap with a 1/16 inch drill bit. One of the three tubes was assayed immediately and the other two were stored in a humidity chamber set at 50° C and 70%R.H. One of the two stored tubes was assayed after 1 day of storage; the second, after 3 days of storage. Storage stability was reported for Day 1 and Day 3 by dividing the remaining activity by the original activity at Day 0, expressed as a percentage.

The enzyme activity was determined by adding to each tube 30 ml of 0.25M MES pH 5.5 buffer containing 20 µl Catalase HP L5000 (Genencor International, Rochester, NY) and incubating for 40 minutes to inactivate the perborate. After this, the enzyme was assayed by adding 10 µl of the test tube mixture and 10 µl of sAAPF protease substrate to 980 µl of 0.1M Tris pH 8.6, then incubating at 25°C over 3 minutes, and measuring the optical absorbance at 410 nm. The slope of the absorbance vs. time was then multiplied by the dilution factor and the known extinction coefficient for the specific protease to obtain an enzyme activity as concentration in mg/ml.

#### Heubach attrition and elutriation dust tests.

Two commonly used methods for measuring enzyme granule dust are the Heubach attrition test and the elutriation test. These tests attempt to quantify the tendency of enzyme granules to generate airborne protein aerosols which might

potentiate allergic reactions among workers in detergent plants. These tests are designed to reproduce certain mechanical actions typical of handling, conveying and blending operations used to mix enzyme granules into detergents at commercial scale.

5 In the elutriation test, 60 grams of enzyme granules were placed on a glass frit within a glass tube that was 175 cm high and 3.54 cm in diameter, and fluidized with a constant dry air stream at 0.8 meter/sec for 40 minutes.

In the Heubach attrition test, 13.5 g of granules were placed in a small, cylindrical chamber fitted with a rotating paddle and four steel balls; the granules  
10 were pushed around by the paddle and balls, while dry air percolated up through the chamber at 20 lpm for 20 minutes.

In both tests, dust stripped from the particles by the air was captured on a 15 cm tared glass fiber filter for subsequent weight measurement and activity determination by the sAAPF method described above. Enzyme dust for Heubach  
15 was reported as ng enzyme per gram of granules. Enzyme dust from elutriation was converted from activity to GU per 60g of granules, using enzyme-specific conversion factors.

**Table 1**

Lot	Enzyme Core	Salt Layer	Coating Polymer	Bleach Det. Stability 3 days at 50°C	Heubach Total Dust (mg/pad)	Heubach Enz. Dust (ng/g)	Elutriation Dust (GU/60g)
A	Layered	MgSO <sub>4</sub>	MC	34%	1.8	2160	623
B	Matrix	MgSO <sub>4</sub>	MC	69%	0.63	1058	130

20

#### **Example 4**

#### **Pilot Scale Fluid Bed Spray Coating of Alkaline Protease/Sucrose-Starch Matrix**

25 73.4 kg sucrose crystals sieved to between 35 and 50 mesh were charged into a modified Glatt WSG-120 fluid bed coater and fluidized. 174.67 kg of an aqueous protease solution with 19.98% total dry solids and 6.365% w/w active

protease was added to 117 kg of an aqueous solution containing 36.25 kg of sucrose, 29 kg of common yellow dent starch and 7.25 kg of Ethylex 2015 that had been fully hydrated by "cooking out" at 190°F for 15 minutes. The combined solution was sprayed onto the sucrose under the following conditions:

5

Fluid feed rate	1.0 LPM
Atomization pressure	75 psi
Inlet air temperature set point	NA
Product temperature set point	70°C
Inlet air rate	70 cubic meters/min

The coated particles were then coated with an aqueous solution containing 75 kg (40.3% w/w) of magnesium sulfate heptahydrate. This coating was applied under the following conditions:

10

Fluid feed rate	2.3 LPM
Atomization pressure	50 psi
Inlet air temperature set point	NA
Product temperature set point	70°C
Inlet air rate	70 cubic meters/min

The magnesium sulfate coated particles were then cosmetically coated with 208.93 kg of an aqueous solution containing 12.97 kg (6.2% w/w) titanium dioxide, 10.59 kg (5% w/w) methylcellulose, 2.12 kg (1% w/w) of Neodol 23/6.5 and 3.57 kg (1.67% w/w) of polyethylene glycol at a MW of 600. The cosmetic coating was applied under the following conditions:

15

Fluid feed rate	1.1 LPM
Atomization pressure	75 psi
Inlet air temperature set point	NA
Product temperature set point	75°C
Inlet air rate	70 cubic meters/min

A total of 199.35 kg of enzyme granules were harvested as lot D. The overall mass balance for this experiment was 83.84%,

5

#### **Example 5**

#### **Pilot Scale Fluid Bed Spray Coating of Alkaline Protease/Sucrose-Starch Matrix**

10 A.

65.75 kg sucrose crystals sieved to between 35 and 50 mesh were charged into a modified Glatt WSG-120 fluid bed coater and fluidized. 180.42 kg of an aqueous protease solution with 20.74% total dry solids and 6.71% w/w active protease was added to 145.13 kg of an aqueous solution containing 37.57 kg of sucrose, 29.94 kg of common yellow dent starch and 7.62 kg of Ethylex 2015 that had been fully hydrated by "cooking out" at 190°F for 15 minutes. The combined solution was sprayed onto the sucrose under the following conditions:

15

Fluid feed rate	1.0 LPM
Atomization pressure	75 psi
Inlet air temperature set point	NA
Product temperature set point	70°C
Inlet air rate	58 cubic meters/min

B.

The coated particles were then coated with an aqueous solution containing 86.95 kg (40.3% w/w) of magnesium sulfate heptahydrate. This coating was applied under the following conditions:

5

Fluid feed rate	1.7 LPM
Atomization pressure	50 psi
Inlet air temperature set point	NA
Product temperature set point	50°C
Inlet air rate	58 cubic meters/min

The magnesium sulfate coated particles were then cosmetically coated with 240.79 kg of an aqueous solution containing 16.97 kg (6.2% w/w) titanium dioxide, 6.84 kg (2.5% w/w) methylcellulose, 6.84 kg (2.5% w/w) of maltodextrin M150 (DE=15 from Grain Processing Corp., Muscatine, Iowa), 2.74 kg (1% w/w) of Neodol 23/6.5 and 4.57 kg (1.67% w/w) of polyethylene glycol at a MW of 600. The cosmetic coating was applied under the following conditions:

10

Fluid feed rate	1.2 LPM
Atomization pressure	75 psi
Inlet air temperature set point	NA
Product temperature set point	60°C
Inlet air rate	58 cubic meters/min

15

A total of 199.35 kg of enzyme granules were harvested as lot E. The overall mass balance for this experiment was 97.13%,

**Example 6**

**Pilot Scale Fluid Bed Spray Coating of Alkaline Protease/Sucrose-Starch**

**Matrix**

The enzyme cores were made according to section A of Example 5.

5

In the following three granules, the magnesium sulfate heptahydrate was applied as a 50% solution so as to constitute 15% by weight of the final granule weight. The conditions were as follows:

Atomization pressure	50 psi
Inlet air temperature set point	NA
Product temperature set point	47-54°C
Inlet air rate	58 cubic meters/min

10

The coating polymers were applied as 15 % w/w solutions of soluble solids, batched in order to deliver the following coating compositions, given as weight percentages of the final granules in Table 2. The conditions were as follows:

Atomization pressure	50 psi
Inlet air temperature set point	NA
Product temperature set point	46-55°C
Inlet air rate	58 cubic meters/min

15

**Table 2**

Lot	MC (%)	MD (%)	Sucrose (%)	PEG (%)	Neodol (%)	TiO <sub>2</sub> (%)
F	2.5	2.5		1.7	1.0	5.0
G	1.5		3.0	1.7	1.5	5.0
H	2.5	2.5		1.7	1.0	

The granules were analyzed as described in Example 3 and the results are shown in Table 3.

**Table 3**

Lot	Enzyme Core	Salt Layer	Coating Ingredients	Bleach Det. Stability 3 days, 50C	Heubach Total Dust (mg/pad)	Heubach Enz. Dust (ng/g)	Elutriation Dust (GU/60g)
F	Matrix	MgSO <sub>4</sub>	MC, MD, PEG Neodol, TiO <sub>2</sub>	65%	0.6	481	23
G	Matrix	4	MC, sucrose, PEG Neodol, TiO <sub>2</sub>	55%	8.2	437	101
H	Matrix	MgSO <sub>4</sub> + 5%TiO <sub>2</sub>	MC, MD, PEG Neodol	73%	0.5	370	34

5

**Example 7**

Three large scale matrix granules were produced in a modified Glatt WSG 120 fluidized bed spray-coater. In Lot J, 50.5 kg of -35/+50 mesh sucrose seeds were charged into the coater and fluidized. A matrix carrier solution was prepared by cooking out 0.4 kg of Ethylex 2015 starch, as in the previous examples, and adding 46.7 kg sucrose and 23.4 kg dry yellow dent corn starch, with water added to give a final solution weight of 337.4 kg. The matrix carrier solution was combined with 243.2 kg of an aqueous protease solution containing 51.89 g/L GG36 subtilisin and 19% total solids, to form the enzyme matrix solution. The enzyme matrix solution was sprayed onto the sucrose seeds under the following conditions:

Bed temperature: 60° C  
 Fluidization air: 48 scfm  
 Spray rate ramp: 0.3 to 1.0 lpm over 240 minutes  
 Atomization air: 50-75 psig over 240 minutes

A solution of ammonium sulfate was prepared by dissolving 58.3 kg of ammonium sulfate in 135.9 kg water and this was sprayed over the matrix-coated seeds under the following conditions:

- 5            Bed temperature:    70° C  
             Fluidization air:    48-57 scfm  
             Spray rate:           1.5 lpm  
             Atomization air:    75 psig

- 10           Finally, a coating solution was prepared by dissolving or suspending 17.9 kg Elvanol 51-05 polyvinyl alcohol, 22.4 kg titanium dioxide, and 4.5 kg Neodol 23.5-6T nonionic surfactant in water to a net weight of 224.1 kg. This coating solution was applied under the following conditions:

- 15           Bed temperature:    72° C  
             Fluidization air:    56 scfm  
             Spray rate:           0.5-1.2 lpm over 300 minutes  
             Atomization air:    75 psig

- 20           After the coating was completed, 255.5 kg of granules were harvested from the coater and sieved to retain the -16/+50 mesh cut. The granule was assayed at 4.54 % w/w active subtilisin, and dust and stability measurements were conducted, reported in the table below.

- 25           Two additional batches of matrix granules, Lots K and L, were produced in the modified Glatt WSG 120 coater under essentially the same process conditions, but with the formulation changes noted in the table below. A layered granule was produced as described in Example 1.

Table 4 below summarizes the four formulations and reports both stability and dust for each sample.



Table 4

PARAMETER	Layered Granule	Matrix Granule Lot J	Matrix Granule Lot K	Matrix Granule Lot L
Weights (kg)				
Sucrose seeds	NA	50.5	38.2	58.8
Sucrose	NA	46.7	17.8	24.1
Dry starch	NA	23.4	41.8	53.6
Gelled starch	NA	0.4	0	0
Enzyme liquid	NA	243	88	133
Enzyme activity (g/L)	NA	51.9	49.9	67.1
Salt	NA	58.3	48.4	38.7
TiO <sub>2</sub>	NA	22.4	7.9	12.6
PVA (Elvanol 51-05)	NA	17.9	10.8	10.8
Neodol 23.5-6T	NA	4.5	2.7	2.5
Ratios (% or % w/w)				
Enzyme Payload	2.00	4.54	2.70	3.35
Dry starch:sucrose	NA	0.50	2.34	2.22
Gelled starch:sucrose	NA	0.01	0	0
Salt type	(NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub>	(NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub>	MgSO <sub>4</sub>	MgSO <sub>4</sub>
Salt level (% w/w)	20	22	30	20
PVA	6.8	7.0	6.7	5.4
TiO <sub>2</sub>	5.4	8.8	4.9	6.4
Neodol	1.4	1.7	1.7	1.3
3-Day Stability (%)	29.8	95.2	67.9	79.9
Heubach Dust				
Total Dust (mg/pad)		0.4	0.4	0.4
Enzyme Dust (ng/g)		56	174	78

**Example 8**

**Pilot Scale Fluid Bed Spray Coating of Amylase/Starch Matrix**

26 kg sucrose crystals sieved to between 35 and 50 mesh were charged into Deseret 60 fluid bed coater and fluidizer. 15.3 kg of an aqueous amylase solution  
5 with 31% total dry solids and 12.5% w/w active amylase was added to 43.5 kg of an aqueous solution containing 23.5 kg of corn starch. The combined solution was sprayed onto the sucrose under the following conditions:

	Fluid feed rate	0.8 kg/min
10	Atomization pressure	75 psi
	Inlet air temperature set point	NA
	Product temperature set point	45°C
	Inlet air rate	1300 cfm

- 15 The coated particles were then coated with an aqueous solution containing 66.7 kg (40% w/w) of magnesium sulfate heptahydrate. This coating was applied under the following conditions:

	Fluid feed rate	1.1 kg/min
20	Atomization pressure	60 psi
	Inlet air temperature set point	NA
	Product temperature set point	47°C
	Inlet Air rate	1800 cfm

- 25 The magnesium sulfate coated particles were then cosmetically coated with 92.6 kg of an aqueous solution containing 7.1 kg (6.2% w/w) titanium dioxide, 2.9 kg (2.5% w/w) methylcellulose, 2.9 kg (2.5%) Purecote B790, 1.2kg (1.5% w/w) Neodol 23/6.5, and 2.0 kg (1.67% w/w) of polyethylene glycol at a MW of 600. The cosmetic coating was applied under the following conditions:

30

	Fluid feed rate	0.5 kg/min
	Atomization pressure	75 psi
	Inlet air temperature set point	NA
	Product temperature set point	47°C
5	Inlet Air rate	1800 cfm

#### **Example 9**

##### **Pilot Scale Fluid Bed Spray Coating of Amylase/Sucrose-Starch Matrix**

26 kg sucrose crystals sieved to between 35 and 50 mesh were charged into  
10 Deseret 60 fluid bed coater and fluidizer. 15.3 kg of an aqueous amylase solution with 31% total dry solids and 12.5% w/w active amylase was added to 59.3 kg of an aqueous solution containing 7.8 kg of sucrose and 23.5 kg of corn starch. The combined solution was sprayed onto the sucrose under the following conditions:

15	Fluid feed rate	0.8 kg/min
	Atomization pressure	75 psi
	Inlet air temperature set point	NA
	Product temperature set point	45°C
	Inlet air rate	1300 cfm

20

The MgSO<sub>4</sub> and cosmetic coating were run exactly as described above in Example 8.

#### **Example 10**

25 In a modified Glatt WSG 120 fluidized bed spray coater, 47.37 kg sucrose crystals, sized at 30-50 mesh, were added and fluidized at 40-60 m<sup>3</sup>/min and 45 degrees C. An amylase enzyme suspension was prepared by slurring 67.72 kg of common yellow dent corn starch in 105 kg of amylase UF concentrate with an activity of 30,000 TAU/g or 85.7 mg/g amylase and which contained 24.2 mg/ml total  
30 sugars carried forward from the fermentation and recovery processes. The enzyme suspension was coated onto the sucrose seeds under the following conditions (where a range is shown, the values are linearly increased over a ramp period):

5	Ramp time:	90 minutes
	Fluid feed rate	0.9-1.35 liter/min
	Atomization pressure	45-75 psi
	Inlet air temperature	adjusted to maintain outlet air temperature
	Outlet air temperature	45 degrees C
	Fluidization air rate	40-60 m3/min

- 10 After the enzyme suspension was coated onto the sucrose crystals, 80 kg of a 50% solution of MgSO<sub>4</sub> heptahydrate was sprayed onto the fluidized granules under the following conditions:

15	Ramp time:	30 minutes
	Fluid feed rate	1.12-2.15 liter/min
	Atomization pressure	60 psi
	Inlet air temperature	adjusted to maintain outlet air temperature
	Outlet air temperature	45 degrees C
	Fluidization air rate	60 m3/min

- 20 Finally, a coating solution was prepared by adding 5.29 kg Methocel A-15 methycellulose (Dow Chemical), 12.71 kg titanium dioxide (DuPont), 5.29 kg Pure Cote B-790 modified starch (Grain Processing Corp.), 2.12 kg Neodol 23-6.5T (Shell) and 3.54 kg polyethyelene glycol, molecular weight 600 (Union Carbide) to 174.91 kg of heated water and cooling to about 20 degrees C to fully dissolve the
- 25 polymers. The coating solution is applied under the following conditions:

30	Ramp time:	60 minutes
	Fluid feed rate	0.75-1.3 liter/min
	Atomization pressure	75 psi
	Inlet air temperature	adjusted to maintain outlet air temperature
	Outlet air temperature	45 degrees C
	Fluidization air rate	60 m3/min

The resulting 180 kg of coated amylase matrix granules were harvested from the coater, with an enzyme yield of 85%.

- 5 Various other examples and modifications of the foregoing description and examples will be apparent to a person skilled in the art after reading the disclosure without departing from the spirit and scope of the invention, and it is intended that all such examples or modifications be included within the scope of the appended claims. All publications and patents referenced herein are hereby incorporated by reference in their entirety.

**What is Claimed:**

1. A granule comprising a protein core comprising a protein matrix, herein  
the protein matrix comprises a protein mixed together with a combination of a sugar  
5 and a structuring agent.

2. The granule of claim 1, wherein the structuring agent is selected from the  
group consisting of a polysaccharide and a polypeptide.

10 3. The granule of claim 2, wherein the structuring agent is selected from the  
group consisting of starch, modified starch, cellulose, modified cellulose,  
carrageenan, gum arabic, acacia gum, xanthan gum, locust bean gum, and guar  
gum.

15 4. The granule of claim 2, wherein the structuring agent is selected from the  
group consisting of chitosan, gelatin, casein, collagen, polyaspartic acid and  
polyglutamic acid.

20 5. The granule of claim 1, wherein the sugar is selected from the group  
consisting of glucose, fructose, raffinose, maltose, lactose, trehalose and sucrose.

25 6. The granule of claim 1, further comprising a synthetic polymer, wherein  
the synthetic polymer is selected from the group consisting of polyethylene oxide,  
polyvinyl alcohol, polyvinyl pyrrolidone, polyethylene glycol and polyethylene  
oxide/polypropylene oxide.

7. The granule of claim 1, wherein the protein core comprises the protein  
matrix layered over a seed particle.

30 8. The granule of claim 1 further comprising a coating layer.

9. The granule of claim 8 wherein the coating layer is over the seed particle.

10. The granule of claim 8, wherein the coating layer is over the protein matrix.

5

11. The granule of claim 8, wherein the coating is selected from the group consisting of polyvinyl alcohol, polyvinyl pyrrolidone, cellulose derivatives such as methylcellulose, hydroxypropyl methylcellulose, hydroxycellulose, ethylcellulose, carboxymethyl cellulose, hydroxypropyl cellulose, polyethylene glycol, polyethylene oxide, chitosan, gum arabic, xanthan and carrageenan.

10

12. A granule comprising a protein core comprising a protein matrix, wherein the protein matrix comprises a protein mixed together with a combination of a sugar alcohol and a structuring agent.

15

13. The granule of claim 12, wherein the structuring agent is selected from the group consisting of a polysaccharide and a polypeptide.

14. The granule of claim 13, wherein the structuring agent is selected from the group consisting of starch, modified starch, carrageenan, cellulose, modified cellulose, gum arabic, acacia gum, xanthan gum, locust bean gum, and guar gum.

20

15. The granule of claim 13, wherein the structuring agent is selected from the group consisting of chitosan, gelatin, casein, collagen, polyaspartic acid and polyglutamic acid.

25

16. The granule of claim 12, wherein the sugar alcohol is selected from the group consisting of mannitol, sorbitol and inositol.

17. The granule of claim 12, further comprising a synthetic polymer, wherein the synthetic polymer is selected from the group consisting of polyethylene oxide,

30

polyvinyl alcohol, polyvinyl pyrrolidone, polyethylene glycol and polyethylene oxide/polypropylene oxide.

18. The granule of claim 12, wherein the protein core comprises the protein  
5 matrix layered over a seed particle.

19. The granule of claim 12 further comprising a coating layer.

20. The granule of claim 19 wherein the coating layer is over the seed  
10 particle.

21. The granule of claim 19, wherein the coating layer is over the protein  
matrix.

22. The granule of claim 19, wherein the coating is selected from the group  
15 consisting of polyvinyl alcohol, polyvinyl pyrrolidone, cellulose derivatives such as  
methylcellulose, hydroxypropyl methylcellulose, hydroxycellulose, ethylcellulose,  
carboxymethyl cellulose, hydroxypropyl cellulose, polyethylene glycol, polyethylene  
oxide, chitosan, gum arabic, xanthan and carrageenan.

20

23. A granule comprising an enzyme core comprising an enzyme matrix,  
wherein the enzyme matrix comprises an enzyme mixed together with a combination  
of a sugar and a structuring agent.

24. The granule of claim 23, wherein the structuring agent is selected from  
25 the group consisting of a polysaccharide and a polypeptide.

25. The granule of claim 24, wherein the structuring agent is selected from  
the group consisting of starch, modified starch, carrageenan, cellulose, modified  
30 cellulose, gum arabic, acacia gum, xanthan gum, locust bean gum, and guar gum.



26. The granule of claim 24, wherein the structuring agent is selected from the group consisting of chitosan, gelatin, casein, collagen, polyaspartic acid and polyglutamic acid.

5           27. The granule of claim 23, wherein the sugar is selected from the group consisting of glucose, fructose, raffinose, maltose, lactose, trehalose and sucrose.

10           28. The granule of claim 23, further comprising a synthetic polymer, wherein the synthetic polymer is selected from the group consisting of polyethylene oxide, polyvinyl alcohol, polyvinyl pyrrolidone, polyethylene glycol and polyethylene oxide/polypropylene oxide.

15           29. The granule of claim 23, wherein the protein core comprises the enzyme matrix layered over a seed particle.

30. The granule of claim 23 further comprising a coating layer.

20           31. The granule of claim 30 wherein the coating layer is over the seed particle.

32. The granule of claim 30, wherein the coating layer is over the enzyme matrix.

25           33. The granule of claim 30, wherein the coating is selected from the group consisting of polyvinyl alcohol, polyvinyl pyrrolidone, cellulose derivatives such as methylcellulose, hydroxypropyl methylcellulose, hydroxycellulose, ethylcellulose, carboxymethyl cellulose, hydroxypropyl cellulose, polyethylene glycol, polyethylene oxide, chitosan, gum arabic, xanthan and carrageenan.

30           34. A granule comprising an enzyme core comprising an enzyme matrix, wherein the enzyme matrix comprises an enzyme mixed together with a combination of a sugar alcohol and a structuring agent.

35. The granule of claim 34, wherein the structuring agent is selected from the group consisting of a polysaccharide and a polypeptide.

5           36. The granule of claim 35, wherein the structuring agent is selected from the group consisting of starch, modified starch, carrageenan, cellulose, modified cellulose, gum arabic, acacia gum, xanthan gum, locust bean gum, and guar gum.

10           37. The granule of claim 35, wherein the structuring agent is selected from the group consisting of chitosan, gelatin, casein, collagen, polyaspartic acid and polyglutamic acid.

15           38. The granule of claim 34, wherein the sugar alcohol is selected from the group consisting of mannitol, sorbitol and inositol.

20           39. The granule of claim 33, further comprising a synthetic polymer, wherein the synthetic polymer is selected from the group consisting of polyethylene oxide, polyvinyl alcohol, polyvinyl pyrrolidone, polyethylene glycol and polyethylene oxide/polypropylene oxide.

40           40. The granule of claim 33, wherein the protein core comprises the enzyme matrix layered over a seed particle.

25           41. The granule of claim 33 further comprising a coating layer.

42. The granule of claim 41 wherein the coating layer is over the seed particle.

30           43. The granule of claim 41, wherein the coating layer is over the enzyme matrix.

44. The granule of claim 41, wherein the coating is selected from the group consisting of polyvinyl alcohol, polyvinyl pyrrolidone, cellulose derivatives such as methylcellulose, hydroxypropyl methylcellulose, hydroxycellulose, ethylcellulose, carboxymethyl cellulose, hydroxypropyl cellulose, polyethylene glycol, polyethylene oxide, chitosan, gum arabic, xanthan and carrageenan.

45. A method for making a granule, said method comprising:  
a) providing a seed particle; and  
b) coating the seed particle of step a) with a protein matrix comprising a protein mixed together with a sugar or sugar alcohol and a structuring agent.

46. The method of claim 45 further comprising applying a barrier material.

47. The method of claim 45 further comprising applying a coating layer.

48. The method of claim 47, wherein the coating layer is applied over the seed particle.

49. The method of claim 47, wherein the coating layer is applied over the protein matrix.

50. The method of claim 47 wherein the coating is selected from the group consisting of polyvinyl alcohol, polyvinyl pyrrolidone, cellulose derivatives such as methylcellulose, hydroxypropyl methylcellulose, hydroxycellulose, ethylcellulose, polyethylene glycol, polyethylene oxide, chitosan, gum arabic, xanthan and carrageenan.

51. A method for making a granule, said method comprising:  
a) providing a homogenous protein matrix core comprising a protein mixed together with a sugar or sugar alcohol and a structuring agent.

52. The method of claim 51 further comprising applying a barrier material.

53. The method of claim 51 further comprising applying a coating layer.

5

54. The method of claim 53, wherein the coating layer is applied over the barrier material.

10 55. The method of claim 53, wherein the coating layer is applied over the protein matrix.

15 56. The method of claim 53 wherein the coating is selected from the group consisting of polyvinyl alcohol, polyvinyl pyrrolidone, cellulose derivatives such as methylcellulose, hydroxypropyl methylcellulose, hydroxycellulose, ethylcellulose, polyethylene glycol, polyethylene oxide, chitosan, gum arabic, xanthan and carrageenan.

**Abstract**

Granules that include a protein core are described. The protein core includes a protein matrix which includes a protein mixed together with a combination of a sugar or sugar alcohol and a structuring agent such as a polysaccharide or a polypeptide. The protein matrix can be layered over a seed particle or the protein granule can be homogeneous. The protein can be an enzyme or a therapeutic protein such as a hormone. Also described are methods for making the granules.

DECLARATION  
AND POWER OF ATTORNEY

DOCKET INFORMATION

ORIGINAL APPLICATION

GC507-2

AS A BELOW NAMED INVENTOR, I HEREBY DECLARE THAT:

MY RESIDENCE, POST OFFICE ADDRESS AND CITIZENSHIP ARE AS STATED BELOW NEXT TO MY NAME. I BELIEVE I AM A JOINT INVENTOR OF THE SUBJECT MATTER WHICH IS CLAIMED AND FOR WHICH A PATENT IS SOUGHT ON THE INVENTION ENTITLED **MATRIX GRANULE** THE SPECIFICATION OF WHICH

CHECK ONE:

☒ IS ATTACHED HERETO

☐ WAS FILED ON \_\_\_\_\_ AS APPLICATION SERIAL NO. \_\_\_\_\_

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE. I ACKNOWLEDGE THE DUTY TO DISCLOSE INFORMATION WHICH IS MATERIAL TO PATENTABILITY AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS §1.56.

I HEREBY CLAIM FOREIGN PRIORITY BENEFITS UNDER TITLE 35, UNITED STATES CODE §119, OF ANY FOREIGN APPLICATION(S) FOR PATENT OR INVENTOR'S CERTIFICATE LISTED BELOW AND HAVE ALSO IDENTIFIED BELOW ANY FOREIGN APPLICATION FOR PATENT OR INVENTOR'S CERTIFICATE HAVING A FILING DATE BEFORE THAT OF THE APPLICATION ON WHICH PRIORITY IS CLAIMED.

APPLICATION NUMBER	COUNTRY	DATE OF FILING	PRIORITY CLAIMED	
			YES	NO

I HEREBY CLAIM THE BENEFIT UNDER TITLE 35, UNITED STATES CODE §120, OF ANY UNITED STATES APPLICATION(S) LISTED BELOW AND, INsofar AS THE SUBJECT MATTER OF EACH OF THE CLAIMS OF THIS APPLICATION IS NOT DISCLOSED IN THE PRIOR UNITED STATES APPLICATION IN THE MANNER PROVIDED BY THE FIRST PARAGRAPH OF TITLE 35, UNITED STATES CODE §112, I ACKNOWLEDGE THE DUTY TO DISCLOSE MATERIAL INFORMATION AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS §1.56(A) WHICH OCCURRED BETWEEN THE FILING DATE OF THE PRIOR APPLICATION AND THE NATIONAL OR PCT INTERNATIONAL FILING DATE OF THIS APPLICATION.

APPLICATION NUMBER	DATE OF FILING	STATUS - PATENTED, PENDING OR ABANDONED
08/995,457	DECEMBER 20, 1997	PENDING
60/105,874	OCTOBER 27, 1998	PENDING

**POWER OF ATTORNEY:** AS A NAMED INVENTOR I HEREBY APPOINT AS MY ATTORNEY(S) WITH FULL POWER OF SUBSTITUTION AND REVOCATION, TO PROSECUTE THIS APPLICATION AND TRANSACT ALL BUSINESS IN THE PATENT AND TRADEMARK OFFICE CONNECTED THEREWITH:

MARGARET A. HORN, REG. No. 33,401  
CHRISTOPHER L. STONE, REG. No. 35,696  
KIRSTEN A. ANDERSON, REG. No. 38,813  
DEBRA J. GLAISTER, REG. No. 33,888

SEND CORRESPONDENCE TO: <b>KIRSTEN A. ANDERSON</b> GENENCOR INTERNATIONAL, INC. 925 PAGE MILL ROAD PALO ALTO, CA 94304-1013	DIRECT TELEPHONE CALLS TO:  <b>650-846-7676</b>
---	---

### 201

FULL NAME OF INVENTOR	FULL FIRST NAME <b>NATHANIEL</b>	INITIAL <b>T.</b>	LAST NAME <b>BECKER</b>	
RESIDENCE & CITIZENSHIP	CITY <b>BURLINGAME</b>	STATE OR FOREIGN COUNTRY <b>CA</b>		COUNTRY OF CITIZENSHIP <b>US</b>
POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>2116 HILLSDIE DRIVE</b>	CITY <b>BURLINGAME</b>	STATE OR COUNTRY <b>CA</b>	ZIP CODE <b>94010</b>

### 202

FULL NAME OF INVENTOR	FULL FIRST NAME <b>THOMAS</b>	INITIAL <b>S.</b>	LAST NAME <b>GREEN</b>	
RESIDENCE & CITIZENSHIP	CITY <b>MONTARA</b>	STATE OR FOREIGN COUNTRY <b>CA</b>		COUNTRY OF CITIZENSHIP <b>US</b>
POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>P.O. BOX 371302</b>	CITY <b>MONTARA</b>	STATE OR COUNTRY <b>CA</b>	ZIP CODE <b>94307</b>

### 203

FULL NAME OF INVENTOR	FULL FIRST NAME <b>ROBERT</b>	INITIAL <b>I.</b>	LAST NAME <b>CHRISTENSEN, JR.</b>	
RESIDENCE & CITIZENSHIP	CITY <b>PINOLE</b>	STATE OR FOREIGN COUNTRY <b>CA</b>		COUNTRY OF CITIZENSHIP <b>US</b>
POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>2156 BLUE JAY CIRCLE</b>	CITY <b>PINOLE</b>	STATE OR COUNTRY <b>CA</b>	ZIP CODE <b>94564</b>

I FURTHER DECLARE THAT ALL STATEMENTS MADE HEREIN OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE , AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION OR ANY PATENT ISSUING THEREON.

SIGNATURE OF INVENTOR <b>201</b>	SIGNATURE OF INVENTOR <b>202</b>
DATE	DATE
SIGNATURE OF INVENTOR <b>203</b>	SIGNATURE OF INVENTOR <b>204</b>
DATE	DATE